



BEST AVAILABLE COPY

Dep & Ref
CLIENT MAINTEN
5 DIVISION

01263.001605

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2004 JUL -1 PM 12

In re Application of:

JASON PETER ANDREW
CHARLESWORTH ET AL.

Application No.: 09/863,424

Filed: May 24, 2001

For: INDEXING METHOD AND
APPARATUS

: Examiner: U.T. Le
)

:
) Group Art Unit: 2171
)

:
)
)
) June 23, 2004

Commissioner for Patents
Post Office Box 1450
Alexandria, VA 22313-1450

REQUEST FOR REFUND

Sir:

In connection with the above-identified application, Applicants request a refund of \$180.00 for an Information Disclosure Statement (IDS) fee, which was erroneously charged to our Deposit Account 06-1205. It is requested that the refund be applied as a credit to that Deposit Account. The reason for the refund is explained below.

On June 9, 2004, Applicants submitted an IDS and the required filing fee of \$180.00 (see attached copy of the IDS and a postcard acknowledging receipt of the fee). No additional fees were due at that time.

Applicants' attorneys received a Monthly Statement of Deposit Account, dated April 2004 (copy attached), indicating that Deposit Account No. 06-1205 was charged \$180.00. Applicants respectfully submit that a refund of \$180.00 is due.

Accordingly, Applicants hereby request a refund and authorize the
Commissioner to credit Deposit Account No. 06-1205 in the amount of \$180.00, to resolve
this matter. 2004 JUL -1 PM 12: 43

Applicants' undersigned attorney may be reached in our New York office by
telephone at (212) 218-2100. All correspondence should continue to be directed to our
New York office at the below listed address.

Respectfully submitted,

Donald P. Diame
Attorney for Applicants

Registration No. 29,296

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200

NY_MAIN 435958v1



DIVISION

2004 JUL -1 PM 12:43

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date 6, 9, 04
Mo. Day Yr.
Atty. Docket 01263.001605
Application No. 09/863,424

Sir: Kindly acknowledge receipt of the accompanying:

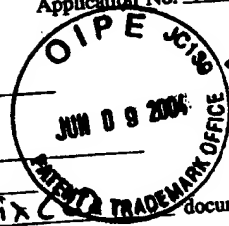
- ☐ Response to Official Action. _____
- ☐ Check for \$ _____ (claims fee)
- ☐ Petition under 37 CFR 1.136 and Check for \$ _____
- ☐ Notice of Appeal and Check for \$ _____
- ☒ Information Disclosure Statement, PTO-1449 and SIX documents
- ☐ Claim for priority and certified copies of _____ priority applications
- ☐ Issue fee transmittal and Check for \$ _____
- ☒ Other (specify) \$180.00 fee und 37CFR § 1.17(p)
- by placing your receiving date stamp hereon and returning to deliverer.

Atty. TK/md

Due Date NID 10
Mo. Day Yr.

By Hand

PC-S-0-00





PATENT MAINTENANCE
DIVISION

01263.001605.

2004 JUL -1 PM 12:43

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

JASON PETER ANDREW
CHARLESWORTH ET AL.
Application No.: 09/863,424

Filed: May 24, 2001

For: INDEXING METHOD AND
APPARATUS

)
:
Examiner: U. T. Le

)
:
Group Art Unit: 2171

)
:
June 8, 2004

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

This application has received an Office Action on the merits but has not yet received either a final action or a Notice Of Allowance. Accordingly, this Information Disclosure Statement is filed under 37 C.F.R. § 1.97(c) and is accompanied by the \$180.00 fee specified at 37 C.F.R. § 1.17(p). Consideration of the art cited herein is accordingly deemed proper, and such action is respectfully requested. Accordingly, Applicants request that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

Zil P. Diana
Attorney for Applicants

Registration No. 29,296

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3800
Facsimile: (212) 218-2200

ADJUTANT GENERAL
DIVISION

2001 JUL -1 PM 12:43

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)



ATTY DOCKET NO.
01263.001605

APPLICATION NO.
09/863,424

APPLICANT
JASON PETER ANDREW CHARLESWORTH ET AL.

FILING DATE
May 24, 2001

GROUP
12: 2171

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,638,425	06/10/97	Meador, III et al.	379	88	
	5,787,414	07/28/98	Milke et al.	707	2	
	6,172,675 B1	01/09/01	Ahmad et al.	345	328	
	6,662,180 B1	12/09/03	Aref et al.	707	6	
	6,490,563 B2	12/03/02	Hon et al.	704	260	
	6,023,536	02/08/00	Visser	382	310	

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 606; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1

Form #62

IV_MAIN 432937v1


**United States
Patent and
Trademark Office**

2004 JUL -1 PM 12:43

**Deposit Account Statement**

Requested Statement Month: April 2004
Deposit Account Number: 061205
Name: FITZPATRICK CELLA HARPER & SCINTO
Attention:
Address: 30 ROCKEFELLER PLAZA
City: NEW YORK
State: NY
Zip: 10112-3801

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
04/01	2	09012556	684.2196DI	1811	\$100.00	\$54,241.C
04/01	576	09561291	4411US (CFP1	1501	\$1,330.00	\$52,911.C
04/01	577	09561291	4411US (CFP1	8001	\$15.00	\$52,896.C
04/02	1	09960283	684.3256	1801	-\$770.00	\$53,666.C
04/02	43	09647953	35.C14385	1252	-\$310.00	\$53,976.C
04/02	44	10236935	03500.011325	1251	-\$110.00	\$54,086.C
04/02	132	29188290	03503.011530	8021	\$40.00	\$54,046.C
04/02	387		00050.104700	8013	\$25.00	\$54,021.C
04/05	7	09819613	862.C2178	1201	\$86.00	\$53,935.C
04/05	8	09819613	862.C2178	1806	\$180.00	\$53,755.C
04/05	59	PCT/US04/09020	01997.001800.	1703	\$11.00	\$53,744.C
04/05	187	10054482	35.G1972	1253	\$20.00	\$53,724.C
04/05	426	5753653	025210177SV	8013	\$25.00	\$53,699.C
04/05	428	6500948	025210177SV	8013	\$25.00	\$53,674.C
04/05	429	6153757	025210177SV	8013	\$25.00	\$53,649.C
04/06	3	09971175	00050104700	8013	\$25.00	\$53,624.C
04/06	65	10337823	00684.003447	1201	\$10.00	\$53,614.C
04/06	80	10337891	00684.003446	1201	\$10.00	\$53,604.C
04/07	19	10669333	00684.003531.	1001	\$375.00	\$53,229.C
04/07	24	PCT/US04/09487	01997.026700.	8007	\$20.00	\$53,209.C
04/07	241		02521.000212	8007	\$80.00	\$53,129.C
04/07	302	78397292	01914.000T98.US	7001	\$335.00	\$52,794.C
04/07	313	78397297	01914.000T97.US	7001	\$335.00	\$52,459.C
04/07	978	78397688	00952.008150	7001	\$335.00	\$52,124.C
04/08	1	2366534	00946.001051	8504	\$30.00	\$52,094.C
04/08	2	2650304	00946.001051	8504	\$30.00	\$52,064.C
04/08	3	1343090	00946.001051	8504	\$30.00	\$52,034.C
04/08	4	2073714	00946.001051	8504	\$30.00	\$52,004.C

Deposit Account Statement

ADJUTANT GENERAL'S
DIVISION

Page 2 of 4

04/08 5	2669581	00946.001051	8504	\$30.00	\$51,974.C
04/08 6	2132888	00946.001051	8504	\$30.00	\$51,944.C
04/08 7	2599001	00946.001051	8504	\$30.00	\$51,914.C
04/08 8	1896396	00946.001051	8504	\$30.00	\$51,884.C
04/08 9	1937202	00946.001051	8504	\$30.00	\$51,854.C
04/08 10	1937201	00946.001051	8504	\$30.00	\$51,824.C
04/08 11	1873678	00946.001051	8504	\$30.00	\$51,794.C
04/08 12	2795560	00946.001051	8504	\$30.00	\$51,764.C
04/08 13	808610	00946.001051	8504	\$30.00	\$51,734.C
04/08 14	1593218	00946.001051	8504	\$30.00	\$51,704.C
04/08 15	1726005	00946.001051	8504	\$30.00	\$51,674.C
04/08 27	5805223	03500.010662.2	1460	-\$130.00	\$51,804.C
04/08 42		00050.097000 - EHAUGHEY	8013	\$25.00	\$51,779.C
04/08 166	09863424	1263.1605	1806	\$180.00	\$51,599.C
04/08 1259	78398528	01915.00T133.US	7001	\$335.00	\$51,264.C
04/09 1	10670242	03500.017604	1201	\$86.00	\$51,178.C
04/09 1	10176058	03500.016494	1202	\$36.00	\$51,142.C
04/09 2	10670242	03500.017604	1202	\$18.00	\$51,124.C
04/09 94	10820029	03500.015292.1	9204	-\$632.00	\$51,756.C
04/09 418	09990340	35.G2947	8001	\$15.00	\$51,741.C
04/12 122	6702453	02133.000300-WEOLSEN	8011	\$55.00	\$51,686.C
04/13 1	09354726	35.C13661	1201	\$336.00	\$51,350.C
04/13 2	09354726	35.C13661	1202	\$54.00	\$51,296.C
04/13 74	6521247	02911.001500-JMARINA	8010	\$25.00	\$51,271.C
04/13 75	6521247	02911.001500-JMARINA	8008	\$200.00	\$51,071.C
04/13 131	09544159	1807.1081	1201	\$4.00	\$51,067.C
04/14 4	PAYMENT		9203	-\$12,527.00	\$63,594.C
04/14 81	10140982	00684.003366	8001	\$15.00	\$63,579.C
04/14 128	10295851	03560.003134	1202	\$54.00	\$63,525.C
04/14 129	10295851	03560.003134	1201	\$172.00	\$63,353.C
04/14 248	6702427	03500.016163	8013	\$25.00	\$63,328.C
04/15 5	09503480	35.C14236	1801	\$770.00	\$62,558.C
04/15 19	10312007	00766.000067	9204	-\$90.00	\$62,648.C
04/15 93	10823587	01807.101635	1202	\$18.00	\$62,630.C
04/15 867	78402153	00947.010660	7001	\$335.00	\$62,295.C
04/16 5	29198354	02105.02530	1051	\$130.00	\$62,165.C
04/16 67		02047.000206	8013	\$25.00	\$62,140.C
04/16 68		02047.000206	8013	\$25.00	\$62,115.C
04/16 136	5697028	00684.002225	8013	\$25.00	\$62,090.C
04/16 171		02521.000212	8007	\$20.00	\$62,070.C
04/16 797	78402891	01781.00T201.US	7001	\$335.00	\$61,735.C
04/19 126	10824892	03161.116353	9204	-\$1,188.00	\$62,923.C
04/19 171		02047.000202	8007	\$20.00	\$62,903.C
04/19 172		02047.000202	8007	\$20.00	\$62,883.C
04/20 105		02521000213	8013	\$25.00	\$62,858.C
04/20 127		00845.041000	8013	\$25.00	\$62,833.C
04/20 128	10825936	03217.00200	1460	\$130.00	\$62,703.C
04/20 128		00845.041000	8013	\$25.00	\$62,678.C

Deposit Account Statement

PATENT MAINTENANCE
DIVISION

Page 3 of 4

04/21 5	10295851	03560.003134	1201	\$172.00	\$62,506.C
04/21 11		02471.300200	8013	\$25.00	\$62,481.C
04/21 13		03088.300200	8013	\$25.00	\$62,456.C
04/21 16		02105.001126	8013	\$25.00	\$62,431.C
04/21 17		02105.001126	8013	\$25.00	\$62,406.C
04/21 22		02521.000213	8013	\$25.00	\$62,381.C
04/21 106	78404929	01915.00T135.US	7001	\$335.00	\$62,046.C
04/21 164	78404957	01915.00T134.US	7001	\$335.00	\$61,711.C
04/21 1485	76474134	00847.001300.	7004	\$300.00	\$61,411.C
04/22 19	10059294	00862.003013.1	1801	\$740.00	\$60,671.C
04/22 30	10625673	03560.002263.1	1201	\$252.00	\$60,419.C
04/22 87		00050.107900	8013	\$25.00	\$60,394.C
04/22 281	78405786	945.10663	7001	\$335.00	\$60,059.C
04/22 293	78405792	945.10664	7001	\$335.00	\$59,724.C
04/22 303	78405796	945.10665	7001	\$335.00	\$59,389.C
04/22 308	78405798	945.10666	7001	\$335.00	\$59,054.C
04/22 1003	78385901	224.359	7002	\$100.00	\$58,954.C
04/23 1	1805967	9528123	6205	\$100.00	\$58,854.C
04/23 1	09304630	862.2825	1253	\$950.00	\$57,904.C
04/23 2	1805967	9528123	6201	\$400.00	\$57,504.C
04/23 11	09532076	35.C14367.	1253	-\$930.00	\$58,434.C
04/23 270	6706960	03500.016418	8013	\$25.00	\$58,409.C
04/23 326		00025.008600-RROZOS	8011	\$55.00	\$58,354.C
04/26 3	09725032	35.C14959	1251	\$110.00	\$58,244.C
04/26 9	08572353	35.G1016-CI	1811	\$100.00	\$58,144.C
04/26 18	10743413	02713.002000	1202	\$36.00	\$58,108.C
04/26 288	60509046	00005.001217	8007	\$20.00	\$58,088.C
04/27 4	US20030114429	03097.000200-RROZOS	8011	\$55.00	\$58,033.C
04/27 7	US20030004308	02244.002000-VWALTHER	8011	\$55.00	\$57,978.C
04/27 8	US20030119798	03097.000200-RROZOS	8011	\$55.00	\$57,923.C
04/27 28	78408022	945.10668	7001	\$335.00	\$57,588.C
04/27 112	5436816	02133.300-WEOLSEN	8010	\$25.00	\$57,563.C
04/27 113	5436816	02133.300-WEOLSEN	8008	\$200.00	\$57,363.C
04/27 155	0142520835	02105.002490	8014	\$150.00	\$57,213.C
04/27 157	0143450221	02105.002480	8014	\$150.00	\$57,063.C
04/28 1	60532793	00005.001217	8007	\$20.00	\$57,043.C
04/28 44	36961	02133.300-WEOLSEN	8011	\$55.00	\$56,988.C
04/28 122	10243376	03500.013374.1	1401	\$30.00	\$56,958.C
04/28 122	10648284	01272.020622	1202	\$504.00	\$56,454.C
04/28 123	10648284	01272.020622	1203	\$290.00	\$56,164.C
04/28 288	60297729	02521000213	8007	\$40.00	\$56,124.C
04/29 47	10430435	03090.000100	8011	\$55.00	\$56,069.C
04/29 48	10430435	03090.000100	8013	\$25.00	\$56,044.C
04/29 291	09355980	02047.000206	8013	\$25.00	\$56,019.C
04/29 292	09355980	02047.000206	8013	\$25.00	\$55,994.C
04/30 10	09640063	02158.004700	9204	-\$172.00	\$56,166.C
04/30 11	09640063		1201	\$860.00	\$55,306.C
04/30 12	09640063		1202	\$738.00	\$54,568.C

Deposit Account Statement

Page 4 of 4

04/30 314	29191338	00946006025	8007	\$100.00	\$54,468.00
04/30 316	29191340	00946006023	8007	\$100.00	\$54,368.00
04/30 317	29191339	00946006024	8007	\$100.00	\$54,268.00
04/30 319	29191338	00946006025	8007	\$100.00	\$54,168.00
04/30 330	29191339	00946.006024	8007	\$100.00	\$54,068.00
04/30 332	10173449	01056.019200	8013	\$25.00	\$54,043.00
04/30 333	10173449	01056.019200	8013	\$25.00	\$54,018.00
START		SUM OF	SUM OF		END
BALANCE		CHARGES	REPLENISH		BALANCE
\$54,341.00		\$17,182.00	\$16,859.00		\$54,018.00

[Need Help?](#) | [Return to USPTO Home Page](#) | [Return to Finance Online Shopping](#)

2004 JUL -1 PM 12:43

INFORMATION
DIVISION

#12



01263.001605

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

JASON PETER ANDREW
CHARLESWORTH ET AL.

Application No.: 09/863,424

Filed: May 24, 2001

For: INDEXING METHOD AND
APPARATUS

)
: Examiner: U.T. Le
)
: Group Art Unit: 2171
)
:
)
:
)
:
)
: December 31, 2003
)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED

APR 12 2004

Technology Center 2100

SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

This application has received an Office Action on the merits but has not yet received either a final action or a Notice Of Allowance. Accordingly, this Information Disclosure Statement is filed under 37 C.F.R. § 1.97(c); the \$180.00 fee specified at 37 C.F.R. § 1.17(p) should be charged to Deposit Account 06-1205. Consideration of the art cited herein is accordingly deemed proper, and such action is respectfully requested.

04/08/2004 CCHAU1 00000100 061205 09863424
01-FC:1806 180.00 DA

Adjustment date: 08/03/2004 EEKUBAY1
04/08/2004 CCHAU1 00000100 061205 09863424
01 FC:1806 180.00 CR

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.